

SHUMAKER & SIEFFERT, P.A.  
1625 RADIO DRIVE, SUITE 300  
WOODBURY, MINNESOTA 55125  
TEL 651.735-1100  
FAX 651.735-1102  
WWW.SSIPLAW.COM

RECEIVED  
CENTRAL FAX CENTER

APR 11 2007

---

FACSIMILE SUBMISSION UNDER 37 CFR 1.8

---

TO:	FROM: Kent J. Sieffert
COMPANY: USPTO	DATE: April 11, 2007
FAX NUMBER: 571-273-8300	TOTAL NO. OF PAGES INCLUDING COVER: 4
PHONE NUMBER:	SENDER'S REFERENCE NUMBER: 1014-228US01/JNP-0827
RE: Request for Corrected Filing Receipt	APPLICATION SERIAL NUMBER: 10/728,360

---

This facsimile message is intended for the sole use of the intended recipient(s) and may contain information that is confidential, privileged and/or attorneys' work product. Any review or distribution by any other person is prohibited. If you are not an intended recipient, please immediately contact the sender and delete all copies.

---

**RECEIVED  
CENTRAL FAX CENTER****APR 11 2007  
PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul Funk Confirmation No. 6376  
Serial No.: 10/728,360  
Filed: December 3, 2003 Customer No.: 28863  
Examiner: Unknown  
Group Art Unit: 2131  
Docket No.: 1014-228US01/JNP-0827  
Title: TUNNELED AUTHENTICATION PROTOCOL FOR PREVENTING  
MAN-IN-THE-MIDDLE ATTACKS

CERTIFICATE UNDER 37 CFR 1.8 I hereby certify that this correspondence is being transmitted  
via facsimile to the United States Patent and Trademark Office on April 11, 2007.

By: Caryl Harriman  
Name: Caryl Harriman

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

Attached is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections. The claim of priority should be listed as follows as reflected in the papers originally filed:

**This appln claims benefit 60/430,398 12/03/2002**

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Date: April 10, 2007

SHUMAKER & SIEFFERT, P.A.  
1625 Radio Drive, Suite 300  
Woodbury, Minnesota 55125  
Telephone: 651.735.1100  
Facsimile: 651.735.1102

By: Kent J. Sieffert

Name: Kent J. Sieffert  
Reg. No.: 41,312



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/728,360	12/03/2003	2131	1440	F0232.70000US01	12	87	12

Steven J. Henry  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210

CONFIRMATION NO. 6376

## UPDATED FILING RECEIPT

10/728,360 12/03/2003 2131 1440 F0232.70000US01 12 87 12  
\*OC000000012746962\*

Date Mailed: 05/24/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Paul Funk, Cambridge, MA;

## Assignment For Published Patent Application

Funk Software, Inc., Cambridge, MA;

## Domestic Priority data as claimed by applicant

This appn claims benefit 60/430,398 12/03/2002

## Foreign Applications

if Required, Foreign Filing License Granted: 03/12/2004

Projected Publication Date: 06/09/2005

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

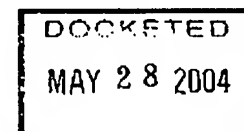
## Title

Tunneled authentication protocol for preventing man-in-the-middle attacks

F0232.70000US01

Confirmation	<input type="checkbox"/>	Initials
Docketing	<input checked="" type="checkbox"/>	6

STN



**Preliminary Class**

713

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).